

# **RegTrack-SDD**

An On-Premise product for listed entities to stay on top of Insider Trading Regulations

### • TeamLease RegTech Helping India Comply

# **SDD for UPSI**

### **Unpublished Price Sensitive Information (UPSI)**

**UPSI** means any information related to the Company, which if published is likely to materially affect the price of securities of the company. UPSI contains but is not limited to financial results, intended declaration of Dividends, Issue / buy back of securities, major expansion plans, etc.





### **Structured Digital Database (SDD)**

SDD means the database maintained by the Company containing the names of such persons or entities with whom Unpublished Price Sensitive Information (UPSI) is shared

## **Regulation 3(5) of SEBI (Prohibition of Insider Trading) Regulations**

The Board of Directors shall ensure that the Company maintains SDD containing details of the nature of UPSI and the names of such persons who have shared the information and the names of the person with whom the information is shared along with the PAN or any other identifier authorized by law.







# RegTrack-SDD platform by TeamLease Regetch

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		SDD requirements by SEBI	RegTrack-SDD by TeamLease RegTech
<u>Jo</u>	Time Stamping		
	On-premises Hosting Information maintained internally)		
	Non-Tampering of Data		
	Audit Trail		
	Maintenance of Historical Data		
	Masters (DP, UPSI, Auditor, Company)	_	
	Customized Report generation	_	
(Hereiter and the second secon	Easy to use	-	

### A DIGITAL SYSTEM THAT IS BE DEPLOYED ON THE COMPANY 'S SERVER THAT CONTAINS

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Master of various designated person/firms/companies covering detailed information



Maintenance of database with adequate internal controls and checks such as time stamping and audit trails to ensure nontampering of the database Downloadable reports of overall database depending upon the frequency selected





# Infrastructure Requirement

### **Network Requirements**

- IIS server Installed
- 80 For http traffic
- 443 For https traffic
- Static public/private IP address
- Optional domain name









- A Minimum Server/VM with 4 Core CPU and 4 or 8 GB RAM
- A Minimum of 2 drives, one with OS and one for backups
- Operating System Windows Server 2019 R2 Standard Edition
- SQL server 2019 Express (at installation time, please keep the sa password)
- SQL Server Management Studio (SSMS)
- SMTP details with email and password (To generate system emails)

- RAM: 4GB
- Disk Size: 60GB
- OS: Ubuntu 18.04





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# **CONTACT US**

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